



CHEN'S INEQUALITY FOR C-TOTALLY REAL SUBMANIFOLDS IN A GENERALIZED (κ, μ) -SPACE FORM

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ABSTRACT. In this paper, we obtain a basic Chen's inequality for a C-totally real submanifold in a generalized (κ, μ) -contact space form involving intrinsic invariants, namely a scalar curvature, sectional curvatures of the submanifold on the left-hand side and the main extrinsic invariant, namely a squared mean curvature on the right-hand side. Inequalities between the squared mean curvature, k -Ricci curvature and Ricci curvature, are also obtained here.

1. INTRODUCTION

In the theory of submanifolds, the study of immersibility of a Riemannian manifold in a Euclidean space is one of the fundamental problems. According to the well-known theorem of J. Nash in 1956 [14], every Riemannian manifold can be isometrically embedded in some Euclidean spaces with sufficiently high codimension.

In [5], B.-Y. Chen defined a Riemannian invariant $\delta_M = \tau - \inf K$ for any Riemannian manifold M , where τ is the scalar curvature of M and $(\inf K)(p) = \inf\{K(\pi) \mid \text{plane sections } \pi \subset T_p M\}$. In [5], Chen also obtained a necessary condition for the existence of minimal isometric immersion from a given Riemannian manifold into Euclidean space and established a sharp inequality for a submanifold in a real space form using the scalar curvature, the sectional curvature and squared mean curvature. In [6], he gave a sharp relationship between the squared mean curvature and the Ricci curvature for the submanifolds in a real space form. These inequalities are also sharp, and many nice classes of submanifolds realize equality in inequalities. In [7], B. -Y. Chen introduced new types of curvature invariants, by defining two strings of scalar-valued Riemannian curvature functions, namely $\delta(n_1, \dots, n_k)$ and $\tilde{\delta}(n_1, \dots, n_k)$. The first string of δ -invariants, $\delta(n_1, \dots, n_k)$, extends naturally the Riemannian invariant introduced in [5].

Many papers studied Chen's invariants and inequalities, such as complex space forms, cosymplectic space forms, warped product spaces, and Sasakian space forms [2, 8–13, 15].

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In [4], A. Carriazo, V. Martín Molina, and M.M. Tripathi introduce generalized (κ, μ) -space forms as an almost contact metric manifold $(\tilde{M}, \phi, \zeta, \eta, \langle, \rangle)$ whose curvature tensor can be written as

$$R = f_1R_1 + f_2R_2 + f_3R_3 + f_4R_4 + f_5R_5 + f_6R_6,$$

where f_1, f_2, f_3, f_4, f_5 , and f_6 are differentiable functions on \tilde{M} . Generalized (κ, μ) -space forms with divided R_5 whenever the curvature tensor can be written as

$$R = f_1R_1 + f_2R_2 + f_3R_3 + f_4R_4 + f_{5,1}R_{5,1} + f_{5,2}R_{5,2} + f_6R_6,$$

where $f_1, f_2, f_3, f_4, f_{5,1}, f_{5,2}$, and f_6 are differentiable functions on \tilde{M} . Obviously, any generalized Sasakian (κ, μ) -space form is a generalized Sasakian (κ, μ) -space form with divided R_5 .

M.M. Tripathi and J.S. Kim [16, Theorem 5.2] studied the relationship between the scalar curvature, the sectional curvature and the squared mean curvature for C -totally real submanifolds in a (κ, μ) -contact space forms.

In this paper, we improved Theorem 5.2 in [16] for a C -totally real submanifold of generalized (κ, μ) -space form with divided R_5 . In Section 2, we recall some necessary detailed background on Riemannian invariant in Riemannian manifolds, contact metric manifold, C -totally real submanifolds, and contact metric manifolds. In section 3, we establish a basic Chen’s inequality for C -totally real submanifolds in a generalized (κ, μ) -space form with divided R_5 . Sections 4 and 5 contain an inequality between the squared mean curvature, k -Ricci curvature and Ricci curvature. In Section 6, we finally apply these results to get corresponding results for C -totally real submanifolds in a generalized (κ, μ) -contact space form $\tilde{M}(f_1, \dots, f_6)$ with $f_3 = f_1 - 1$.

2. PRELIMINARIES

Riemannian invariants are the intrinsic characteristics of a Riemannian manifold. The scalar curvature is the most studied scalar valued Riemannian invariant on Riemannian manifolds.

Let M be an n -dimensional Riemannian manifold. We denote by $K(\pi)$ the sectional curvature of M for a plane section π in T_pM . For any orthonormal basis $\{e_1, \dots, e_n\}$ for T_pM , the scalar curvature $\tau(p)$ of M at p is defined by $\tau(p) = \sum_{1 \leq i < j \leq n} K(e_i \wedge e_j)$, where $K(e_i \wedge e_j)$ is the sectional curvature of the plane section spanned by e_i and e_j at $p \in M$.

Let Π_k be a k -plane section of T_pM and $\{e_1, \dots, e_k\}$ any orthonormal basis of Π_k . The scalar curvature $\tau(\Pi_k)$ of Π_k is given by

$$\tau(\Pi_k) = \sum_{1 \leq i < j \leq k} K(e_i \wedge e_j). \tag{2.1}$$

The scalar curvature $\tau(p)$ of M at p is identical with the scalar curvature of the tangent space T_pM of M at p , that is, $\tau(p) = \tau(T_pM)$. Also, we denote by $(\inf K)(p) = \inf\{K(\pi) | \pi \subset T_pM, \dim \pi = 2\}$, and introduce the first Chen invariant $\delta_M(p) = \tau(p) - (\inf K)(p)$, which is certainly an intrinsic character of M .

Suppose Π_k is a k -plane section of T_pM and U a unit vector in Π_k . We choose an orthonormal basis $\{e_1, \dots, e_k\}$ of Π_k such that $e_1 = U$. The Ricci curvature Ric_{Π_k} of Π_k at U is given by

$$\text{Ric}_{\Pi_k}(U) = K_{12} + \dots + K_{1k}, \tag{2.2}$$

where K_{ij} is the sectional curvature of the plane section spanned by e_i and e_j . The $\text{Ric}_{\Pi_k}(U)$ is called a k -Ricci curvature. For each integer $k, 2 \leq k \leq n$, the Riemannian invariant θ_k on n -dimensional Riemannian Manifold M is defined by

$$\theta_k(p) = \left(\frac{1}{k-1} \right) \inf_{\Pi_k, X} \text{Ric}(X), p \in M, \tag{2.3}$$

where Π_k is k -plane sections in T_pM and X is unit vector in Π_k [6].

For an integer $k \geq 0$ denote by $\mathcal{S}(n, k)$ the finite set consisting of unordered k -tuples (n_1, \dots, n_k) of integers ≥ 2 satisfying $n_1 < n$ and $n_1 + \dots + n_k \leq n$. Denote by $\mathcal{S}(n)$ the set of unordered k -tuples with $k \geq 0$ for a fixed n .

For each k -tuple $(n_1, \dots, n_k) \in \mathcal{S}(n)$, $\delta(n_1, \dots, n_k)(p)$ is defined by

$$\delta(n_1, \dots, n_k)(p) = \tau - \inf\{\tau(L_1) + \dots + \tau(L_k)\}, \tag{2.4}$$

where L_1, \dots, L_k run over all k mutually orthogonal subspaces of T_pM such that $\dim L_j = n_j, j = 1, \dots, k$. Similarly, $\tilde{\delta}(n_1, \dots, n_k)(p)$ is defined by

$$\tilde{\delta}(n_1, \dots, n_k)(p) = \tau - \sup\{\tau(L_1) + \dots + \tau(L_k)\}. \tag{2.5}$$

Obviously, $\delta(\emptyset) = \tilde{\delta}(\emptyset) = \tau$ for $k = 0$. It is also clear that

$$\delta(n_1, \dots, n_k) \geq \tilde{\delta}(n_1, \dots, n_k)$$

for any k -tuple $(n_1, \dots, n_k) \in \mathcal{S}(n)$.

Definition 2.1 ([7]). A Riemannian n -manifold M is called an $\mathcal{S}(n_1, \dots, n_k)$ -space for a given k -tuple $(n_1, \dots, n_k) \in \mathcal{S}(n)$ if it satisfies $\delta(n_1, \dots, n_k) = \tilde{\delta}(n_1, \dots, n_k)$, identically.

For each $(n_1, \dots, n_k) \in \mathcal{S}(n)$, let $c(n_1, \dots, n_k)$ and $b(n_1, \dots, n_k)$ denote

$$c(n_1, \dots, n_k) = \frac{n^2(n+k-1 - \sum_{j=1}^k n_j)}{2(n+k - \sum_{j=1}^k n_j)}, \tag{2.6}$$

$$b(n_1, \dots, n_k) = \frac{1}{2}n(n-1) - \frac{1}{2} \sum_{j=1}^k n_j(n_j-1).$$

Let M be an n -dimensional submanifold in a manifold \tilde{M} equipped with a Riemannian metric $\langle \cdot, \cdot \rangle$. The Gauss and Weingarten formulae are given respectively by $\tilde{\nabla}_X Y = \nabla_X Y + \sigma(X, Y)$ and $\tilde{\nabla}_X N = -A_N X + \nabla_X^\perp N$ for all $X, Y \in \mathfrak{X}(M)$ and $N \in T^\perp M$, where $\tilde{\nabla}, \nabla$ and ∇^\perp are Riemannian, induced Riemannian and induced normal connections in \tilde{M}, M and the normal bundle $T^\perp M$ of M respectively, and σ is the second fundamental form related to the shape operator A_N in the direction of N by $\langle \sigma(X, Y), N \rangle = \langle A_N X, Y \rangle$. Then, the Gauss equation is given by

$$\tilde{R}(X, Y, Z, W) = R(X, Y, Z, W) - \langle \sigma(X, W), \sigma(Y, Z) \rangle + \langle \sigma(X, Z), \sigma(Y, W) \rangle \tag{2.7}$$

for all $X, Y, Z, W \in \mathfrak{X}(M)$, where \tilde{R} and R are the curvature tensors of \tilde{M} and M respectively. The mean curvature vector H is expressed by $nH = \text{trace}(\sigma)$. The submanifold M is totally geodesic in \tilde{M} if $\sigma = 0$, and minimal if $H = 0$. If $\sigma(X, Y) = \langle X, Y \rangle H$, for all $X, Y \in \mathfrak{X}(M)$, then M is totally umbilical. The null space of M at a point $p \in M$ is $\mathcal{N}_p = \{X \in T_pM \mid \sigma(X, Y) = 0, \forall Y \in T_p(M)\}$. A manifold \tilde{M} is called an almost contact

metric manifold if there is an almost contact metric structure $(\phi, \xi, \eta, \langle, \rangle)$ consisting of a $(1, 1)$ tensor field ϕ , a vector field ξ , a 1-form η and a compatible Riemannian metric \langle, \rangle satisfying

$$\phi^2 = -I + \eta \otimes \xi, \eta(\xi) = 1, \eta \circ \phi = 0, \phi\xi = 0, \tag{2.8}$$

$$\langle X, Y \rangle = \langle \phi X, \phi Y \rangle + \eta(X)\eta(Y), \tag{2.9}$$

$$\langle X, \xi \rangle = \eta(X), \langle X, \phi Y \rangle = -\langle \phi X, Y \rangle, \tag{2.10}$$

for all $X, Y \in \mathfrak{X}(\tilde{M})$. An almost contact metric structure becomes a contact metric structure if $d\eta = \Phi$, where $\Phi(X, Y) = \langle X, \phi Y \rangle$ is the fundamental 2-form of \tilde{M} .

An almost contact metric structure of \tilde{M} is said to be normal if the Nijenhuis torsion $[\phi, \phi] = -2d\eta \otimes \xi$. A normal contact metric manifold is called a Sasakian manifold. It can be proved that an almost contact metric manifold is Sasakian if and only if $(\nabla_X \phi)Y = \langle X, Y \rangle \xi - \eta(Y)X$, for any $X, Y \in \mathfrak{X}(\tilde{M})$ or equivalently, a contact metric structure is a Sasakian structure if and only if \tilde{R} satisfies $\tilde{R}(X, Y)\xi = \eta(Y)X - \eta(X)Y$, for $X, Y \in \mathfrak{X}(\tilde{M})$. In a contact metric manifold \tilde{M} , the $(1, 1)$ -tensor field h is defined by $2h = \mathcal{L}_\xi \phi$, which is the Lie derivative of ϕ in the characteristic direction ϕ . It is symmetric and satisfies

$$h\xi = 0, \quad h\phi + \phi h = 0, \quad \text{trace}(h) = \text{trace}(\phi h) = 0, \quad \tilde{\nabla}_\xi \xi = -\phi - \phi h,$$

where $\tilde{\nabla}$ is Levi-Civita connection.

Let $(M, \phi, \xi, \eta, \langle, \rangle)$ be an almost contact metric manifold. A ϕ -section of M at $p \in M$ is a section $\Pi \subset T_p \tilde{M}$ spanned by a unit vector X_p orthogonal to ξ_p , and ϕX_p . The ϕ -sectional curvature of Π is defined by $\tilde{K}(X, \phi X) = \tilde{R}(X, \phi X, \phi X, X)$. A Sasakian manifold with constant ϕ -sectional curvature c is called a Sasakian space form and is denoted by $\tilde{M}(c)$. A contact metric manifold $(\tilde{M}, \phi, \xi, \eta, \langle, \rangle)$ is said to be a (κ, μ) -contact manifold if its curvature tensor satisfies the condition $\tilde{R}(X, Y)\xi = \kappa(\eta(Y)X - \eta(X)Y) + \mu(\eta(Y)hX - \eta(X)hY)$, where κ and μ are real constant numbers. If the (κ, μ) -contact metric manifold \tilde{M} has constant ϕ -sectional curvature c , then it is said to be a (κ, μ) -contact space form.

Definition 2.2. ([4]) We say that an almost contact metric manifold $(\tilde{M}, \phi, \xi, \eta, \langle, \rangle)$ is a generalized (κ, μ) -space form if there exist functions $f_1, f_2, f_3, f_4, f_5, f_6$ defined on \tilde{M} such that

$$R = f_1 R_1 + f_2 R_2 + f_3 R_3 + f_4 R_4 + f_5 R_5 + f_6 R_6, \tag{2.11}$$

where $R_1, R_2, R_3, R_4, R_5, R_6$ are the following tensors

$$\begin{aligned} R_1(X, Y)Z &= \langle Y, Z \rangle X - \langle X, Z \rangle Y, \\ R_2(X, Y)Z &= \langle X, \phi Z \rangle \phi Y - \langle Y, \phi Z \rangle \phi X + 2\langle X, \phi Y \rangle \phi Z, \\ R_3(X, Y)Z &= \eta(X)\eta(Z)Y - \eta(Y)\eta(Z)X + \langle X, Z \rangle \eta(Y)\xi - \langle Y, Z \rangle \eta(X)\xi, \\ R_4(X, Y)Z &= \langle Y, Z \rangle hX - \langle X, Z \rangle hY + \langle hY, Z \rangle X - \langle hX, Z \rangle Y, \\ R_5(X, Y)Z &= \langle hY, Z \rangle hX - \langle hX, Z \rangle hY + \langle \phi hX, Z \rangle \phi hY - \langle \phi hY, Z \rangle \phi hX, \\ R_6(X, Y)Z &= \eta(X)\eta(Z)hY - \eta(Y)\eta(Z)hX + \langle hX, Z \rangle \eta(Y)\xi - \langle hY, Z \rangle \eta(X)\xi. \end{aligned}$$

for all vector fields X, Y, Z on \tilde{M} , where $2h = \mathcal{L}_\xi \phi$ and \mathcal{L} is the Lie derivative. We will denote such a manifold by $\tilde{M}(f_1, \dots, f_6)$.

For example, (κ, μ) -contact space forms are generalized (κ, μ) -space forms, with constant functions

$$f_1 = \frac{c+3}{4}, f_2 = \frac{c-1}{4}, f_3 = \frac{c+3}{4} - \kappa, f_4 = 1, f_5 = \frac{1}{2}, f_6 = 1 - \mu.$$

And also, generalized Sasakian space forms $\tilde{M}(f_1, f_2, f_3)$ are generalized (κ, μ) -space forms, with $f_4 = f_5 = f_6 = 0$ [1].

Definition 2.3 ([3]). *An almost contact metric manifold $(\tilde{M}, \phi, \xi, \eta, \langle \cdot, \cdot \rangle)$ is a generalized (κ, μ) -space form with divided R_5 if there exist function $f_1, f_2, f_3, f_4, f_{5,1}, f_{5,2}, f_6$ defined on \tilde{M} such that*

$$R = f_1 R_1 + f_2 R_2 + f_3 R_3 + f_4 R_4 + f_{5,1} R_{5,1} + f_{5,2} R_{5,2} + f_6 R_6, \quad (2.12)$$

where $R_{5,1}, R_{5,2}$ are the following tensors

$$\begin{aligned} R_{5,1}(X, Y)Z &= \langle hY, Z \rangle hX - \langle hX, Z \rangle hY, \\ R_{5,2}(X, Y)Z &= \langle \phi hY, Z \rangle \phi hX - \langle \phi hX, Z \rangle \phi hY, \end{aligned}$$

for all vector fields X, Y, Z on \tilde{M} .

We will denote such a manifold by $\tilde{M}(f_1, f_2, f_3, f_4, f_{5,1}, f_{5,2}, f_6)$. It follows that $R_5 = R_{5,1} - R_{5,2}$. It is obvious that, if $\tilde{M}(f_1, \dots, f_6)$ is a generalized (κ, μ) -space form then \tilde{M} is a generalized (κ, μ) -space form with divided R_5 with $f_{5,1} = f_5$ and $f_{5,2} = -f_5$. A non-Sasakian (κ, μ) -space form is the generalized (κ, μ) -space form with divided R_5 with

$$f_1 = \frac{2-\mu}{2}, f_2 = -\frac{\mu}{2}, f_3 = \frac{2-\mu-2\kappa}{2}, f_4 = 1, f_{5,1} = \frac{2-\mu}{2(1-\kappa)}, f_{5,2} = \frac{2\kappa-\mu}{2(1-\kappa)},$$

and $f_6 = 1 - \mu$ but not the generalized (κ, μ) -space form.

Theorem 2.1 ([4]). *Let $M(f_1, \dots, f_6)$ be a generalized (κ, μ) -space form. If M is a Sasakian manifold, then $f_2 = f_3 = f_1 - 1$.*

Theorem 2.2 ([4]). *Let $M(f_1, \dots, f_6)$ be a generalized (κ, μ) -space form. If M is a contact metric manifold with $f_3 = f_1 - 1$, then it is a Sasakian manifold.*

Let M be a submanifold in a contact manifold \tilde{M} . M is called a C-totally real submanifold if every tangent vector of M belongs to the contact distribution [17]. Thus, M is a C-totally real submanifold if ξ is normal to M . A submanifold M in an almost contact metric manifold \tilde{M} is called anti-invariant if $\phi(TM) \subset T^\perp(M)$ [18]. If a submanifold M in a contact metric manifold is normal to the structure vector field ξ , then it is anti-invariant. Thus C-totally real submanifolds in a contact metric manifold are anti-invariant, as they are normal to ξ . For a C-totally real submanifold in a contact metric manifold we have $\langle A_\xi X, Y \rangle = -\langle \tilde{\nabla}_X \xi, Y \rangle = \langle \phi X + \phi hX, Y \rangle$, which implies that

$$A_\xi = (\phi h)^T, \quad (2.13)$$

where $(\phi h)^T$ is the tangential part of ϕhX for all $X \in \mathfrak{X}(M)$.

We state the following Lemmas of Chen for later uses.

Lemma 2.1 (B. Y. Chen [5]). *If a_1, \dots, a_n, a_{n+1} are $n+1$ ($n > 1$) real numbers such that*

$$\frac{1}{n-1} \left(\sum_{i=1}^n a_i \right)^2 = \sum_{i=1}^n a_i^2 + a_{n+1},$$

then $2a_1 a_2 \geq a_{n+1}$, with equality holding if and only if $a_1 + a_2 = a_3 = \dots = a_n$.

3. CERTAIN BASIC INEQUALITIES

In this section, We establish an inequality for submanifolds in a generalized (κ, μ) -contact space form with divided $R_5, \tilde{M}(f_1, \dots, f_6)$ involving intrinsic invariants, namely the sectional curvature and the scalar curvature and the extrinsic invariant, namely the squared mean curvature.

Lemma 3.1. *In an n -dimensional C -totally real submanifold M in a $(2m + 1)$ -dimensional generalized (κ, μ) -contact space form with divided $R_5, \tilde{M}(f_1, \dots, f_6)$ such that $\xi \in \mathfrak{X}(M)^\perp$, the scalar curvature and the squared mean curvature satisfy*

$$\begin{aligned}
 2\tau &= n(n - 1)f_1 + 2(n - 1)f_4 \operatorname{trace}(h^T) \\
 &+ f_{5,1} \left\{ (\operatorname{trace}(h^T))^2 - \|h^T\|^2 \right\} - f_{5,2} \left\{ \|(\phi h)^T\|^2 - (\operatorname{trace}(\phi h)^T)^2 \right\} \\
 &+ n^2 \|H\|^2 - \|\sigma\|^2,
 \end{aligned} \tag{3.1}$$

where

$$\begin{aligned}
 \|Q\|^2 &= \sum_{i,j=1}^n \langle e_i, Qe_j \rangle^2, \quad Q \in \{(\phi h)^T, h^T\}, \\
 \|\sigma\|^2 &= \sum_{i,j=1}^n \langle \sigma(e_i, e_j), \sigma(e_i, e_j) \rangle
 \end{aligned}$$

and $(\phi h)^T X$ and $h^T X$ are the tangential parts of ϕhX and hX respectively for $X \in \mathfrak{X}(M)$.

Proof. We choose a local orthonormal frame $\{e_1, \dots, e_n\}$ such that e_1, \dots, e_n are tangent to M , e_{n+1} is parallel to the mean curvature vector H . Then from equation of Gauss (2.7), we have

$$K(e_i \wedge e_j) = \tilde{K}(e_i \wedge e_j) + \sum_{r=n+1}^{2m+1} (\sigma_{ii}^r \sigma_{jj}^r - (\sigma_{ij}^r)^2). \tag{3.2}$$

From equation (3.2), we get

$$2\tau = 2\tilde{\tau}(T_p M) + n^2 \|H\|^2 - \|\sigma\|^2, \tag{3.3}$$

since

$$2\tilde{\tau}(T_p M) = \sum_{1 \leq i \neq j \leq n} \tilde{K}(e_i \wedge e_j) = \sum_{1 \leq i \neq j \leq n} \tilde{R}(e_i, e_j, e_j, e_i),$$

for to get 2τ to be enough that we get $\sum_{1 \leq i \neq j \leq n} \tilde{R}(e_i, e_j, e_j, e_i)$. From (2.12), we have

$$\begin{aligned} \sum_{1 \leq i \neq j \leq n} \tilde{R}(e_i, e_j, e_j, e_i) &= f_1 \left\{ \sum_{1 \leq i \neq j \leq n} \langle e_j, e_j \rangle \langle e_i, e_i \rangle - \sum_{1 \leq i \neq j \leq n} \langle e_i, e_j \rangle^2 \right\} \\ &+ f_4 \left\{ \sum_{1 \leq i \neq j \leq n} \langle e_j, e_j \rangle \langle h^T e_i, e_i \rangle - \sum_{1 \leq i \neq j \leq n} \langle e_i, e_j \rangle \langle h^T e_j, e_i \rangle \right\} \\ &+ \sum_{1 \leq i \neq j \leq n} \langle h^T e_j, e_j \rangle \langle e_i, e_i \rangle - \sum_{1 \leq i \neq j \leq n} \langle h^T e_i, e_j \rangle \langle e_j, e_i \rangle \\ &+ f_{5,1} \left\{ \sum_{1 \leq i \neq j \leq n} \langle h^T e_j, e_j \rangle \langle h^T e_i, e_i \rangle - \sum_{1 \leq i \neq j \leq n} \langle h^T e_i, e_j \rangle \langle h^T e_j, e_i \rangle \right\} \\ &- f_{5,2} \left\{ \sum_{1 \leq i \neq j \leq n} \langle (\phi h)^T e_i, e_j \rangle \langle (\phi h)^T e_j, e_i \rangle \right. \\ &\quad \left. - \sum_{1 \leq i \neq j \leq n} \langle (\phi h)^T e_j, e_j \rangle \langle (\phi h)^T e_i, e_i \rangle \right\}, \end{aligned}$$

or

$$\begin{aligned} 2\tilde{\tau}(T_p M) &= \sum_{1 \leq i \neq j \leq n} \tilde{R}(e_i, e_j, e_j, e_i) \\ &= n(n-1)f_1 + 2(n-1)f_4 \operatorname{trace}(h^T) \\ &\quad + f_{5,1} \left\{ (\operatorname{trace}(h^T))^2 - \sum_{1 \leq i \neq j \leq n} \langle e_i, h^T e_j \rangle^2 \right\} \\ &\quad - f_{5,2} \left\{ \sum_{1 \leq i \neq j \leq n} \langle e_i, (\phi h)^T e_j \rangle^2 - (\operatorname{trace}(\phi h)^T)^2 \right\}. \end{aligned}$$

We obtain

$$\begin{aligned} 2\tilde{\tau}(T_p M) &= n(n-1)f_1 + 2(n-1)f_4 \operatorname{trace}(h^T) \\ &\quad + f_{5,1} \left\{ (\operatorname{trace}(h^T))^2 - \|h^T\|^2 \right\} \\ &\quad - f_{5,2} \left\{ \|(\phi h)^T\|^2 - (\operatorname{trace}(\phi h)^T)^2 \right\}. \end{aligned} \tag{3.4}$$

Now if we put (3.4) in (3.3), obtain (3.1). \square

Theorem 3.1. *Let M be an n -dimensional ($n \geq 3$) C-totally real submanifold in a $(2m+1)$ -dimensional generalized (κ, μ) -contact space form with divided R_5 , $\tilde{M}(f_1, \dots, f_6)$. Then for each point $p \in M$ and each plane section $\pi \subset T_p M$, we have*

$$\begin{aligned} \tau - K(\pi) &\leq \frac{n^2(n-2)}{2(n-1)} \|H\|^2 + \frac{(n+1)(n-2)}{2} f_1 \\ &\quad + f_4 \left\{ (n-1) \operatorname{trace}(h^T) - \operatorname{trace}(h|_\pi) \right\} \\ &\quad + \frac{1}{2} f_{5,1} \left\{ (\operatorname{trace}(h^T))^2 - \|h^T\|^2 - 2 \det(h|_\pi) \right\} \\ &\quad - \frac{1}{2} f_{5,2} \left\{ \|(\phi h)^T\|^2 - (\operatorname{trace}(\phi h)^T)^2 + 2 \det((\phi h)|_\pi) \right\}. \end{aligned} \tag{3.5}$$

The equality in (3.5) holds at $p \in M$ if and only if there exist an orthonormal basis $\{e_1, \dots, e_n\}$ of $T_p M$ and an orthonormal basis $\{e_{n+1}, \dots, e_{2m+1} = \xi\}$ of $T_p^\perp M$ such that (a) $\pi = \operatorname{Span}\{e_1, e_2\}$

and (b) the forms of shape operators $A_r \equiv A_{e_r}$, $r = n + 1, \dots, 2m + 1$, become

$$A_{n+1} = \begin{bmatrix} a & 0 & 0 \\ 0 & b & 0 \\ 0 & 0 & (a+b)I_{n-2} \end{bmatrix}, \quad (3.6)$$

$$A_r = \begin{bmatrix} c_r & d_r & 0 \\ d_r & -c_r & 0 \\ 0 & 0 & 0_{n-2} \end{bmatrix}, \quad r = n + 2, \dots, 2m + 1 \quad (3.7)$$

Proof. We set

$$\begin{aligned} \rho &= 2\tau - n(n-1)f_1 - 2(n-1)f_4 \operatorname{trace}(h^T) \\ &\quad - f_{5,1} \left\{ (\operatorname{trace}(h^T))^2 - \|h^T\|^2 \right\} \\ &\quad + f_{5,2} \left\{ \|(\phi h)^T\|^2 - (\operatorname{trace}(\phi h)^T)^2 \right\} \\ &\quad - \frac{n^2(n-2)}{n-1} \|H\|^2. \end{aligned} \quad (3.8)$$

From (3.1) and (3.8), we get

$$n^2 \|H\|^2 = (n-1)(\|\sigma\|^2 + \rho). \quad (3.9)$$

Let $\pi \subset T_p M$ be a plane section. We choose an orthonormal basis $\{e_1, \dots, e_n\}$ for $T_p M$ and an orthonormal basis $\{e_{n+1}, \dots, e_{2m}, e_{2m+1}\}$ for the normal space $(T_p^\perp M)$ at p such that $\pi = \operatorname{Span}\{e_1, e_2\}$, the mean curvature vector $H(p)$ is parallel to e_{n+1} and $e_{2m+1} = \xi$ then the equation (3.9) can be written as

$$\begin{aligned} \left(\sum_{i=1}^n \sigma_{ii}^{n+1} \right)^2 &= (n-1) \left(\sum_{i=1}^n (\sigma_{ii}^{n+1})^2 + \sum_{i \neq j} (\sigma_{ij}^{n+1})^2 \right. \\ &\quad \left. + \sum_{r=n+2}^{2m+1} \sum_{i,j=1}^n (\sigma_{ii}^r)^2 + \rho \right). \end{aligned} \quad (3.10)$$

Applying Lemma 2.1, from (3.10), we obtain

$$2\sigma_{11}^{n+1}\sigma_{22}^{n+1} \geq \sum_{i \neq j} (\sigma_{ij}^{n+1})^2 + \sum_{r=n+2}^{2m+1} \sum_{i,j=1}^n (\sigma_{ii}^r)^2 + \rho. \quad (3.11)$$

From equation (2.7), we also have

$$\begin{aligned} K(\pi) &= f_1 + f_4 \operatorname{trace}(h|_\pi) + f_{5,1} \det(h|_\pi) + f_{5,2} \det((\phi h)|_\pi) \\ &\quad + \sigma_{11}^{n+1}\sigma_{22}^{n+1} - (\sigma_{12}^{n+1})^2 + \sum_{r=n+2}^{2m+1} (\sigma_{11}^r\sigma_{22}^r - (\sigma_{12}^r)^2), \end{aligned} \quad (3.12)$$

which in view of (3.11) gives

$$\begin{aligned}
 K(\pi) \geq & f_1 + f_4 \operatorname{trace}(h|_\pi) + f_{5,1} \det(h|_\pi) + f_{5,2} \det((\phi h)|_\pi) + \frac{1}{2}\rho \\
 & + \sum_{r=n+1}^{2m+1} \sum_{j>2} ((\sigma_{1j}^r)^2 + (\sigma_{2j}^r)^2) + \frac{1}{2} \sum_{i \neq j > 2} (\sigma_{ij}^{n+1})^2 \\
 & + \frac{1}{2} \sum_{r=n+2}^{2m+1} \sum_{i,j>2} (\sigma_{ij}^r)^2 + \sum_{r=n+2}^{2m+1} (\sigma_{11}^r + \sigma_{22}^r)^2,
 \end{aligned} \tag{3.13}$$

or

$$K(\pi) \geq f_1 + f_4 \operatorname{trace}(h|_\pi) + f_{5,1} \det(h|_\pi) + f_{5,2} \det((\phi h)|_\pi) + \frac{1}{2}\rho. \tag{3.14}$$

In view of (3.8) and (3.14), we obtain (3.5). If the equality in (3.5) holds, then the inequalities given by (3.11) and (3.13) become equalities. In this case, we have

$$\begin{aligned}
 \sigma_{1j}^{n+1} = 0, \sigma_{2j}^{n+1} = 0, \sigma_{ij}^{n+1} = 0, i \neq j > 2; \\
 \sigma_{1j}^r = \sigma_{2j}^r = \sigma_{ij}^r = 0, r = n + 2, \dots, 2m + 1; i, j = 3, \dots, n; \\
 \sigma_{11}^{n+2} + \sigma_{22}^{n+2} = \dots = \sigma_{11}^{2m+1} + \sigma_{22}^{2m+1} = 0.
 \end{aligned} \tag{3.15}$$

Furthermore, we may choose e_1 and e_2 so that $\sigma_{12}^{n+1} = 0$. Moreover, by applying Lemma 2.1, we also have

$$\sigma_{11}^{n+1} + \sigma_{22}^{n+1} = \sigma_{33}^{n+1} = \dots = \sigma_{nn}^{n+1}. \tag{3.16}$$

Thus, after choosing a suitable orthonormal basis, the shape operator of M becomes of the form given by (3.6) and (3.7). The converse is straightforward. \square

4. SQUARED MEAN CURVATURE AND RICCI CURVATURE

Chen established a sharp relationship between the Ricci curvature and the squared mean curvature for submanifolds in real space forms [6]. We prove similar inequalities for certain submanifolds of a generalized (κ, μ) -contact space form with divided $R_5, \tilde{M}(f_1, \dots, f_6)$.

Theorem 4.1. *Let M be an n -dimensional ($n \geq 3$) C-totally real submanifold in a $(2m + 1)$ -dimensional generalized (κ, μ) -contact space form with divided $R_5, \tilde{M}(f_1, \dots, f_6)$. Then for each point $p \in M$*

(1) *For all unit vector $U \in T_pM$, we have*

$$\begin{aligned}
 \operatorname{Ric}(U) \leq & \frac{1}{4}n^2 \|H\|^2 + (n - 1)f_1 + f_4(\operatorname{trace}(h^T) + (n - 2)g(h^T U, U)) \\
 & + f_{5,1} \left(\operatorname{trace}(h^T)g(h^T U, U) - \|h^T U\|^2 \right) \\
 & + f_{5,2} \left(\operatorname{trace}((\phi h)^T)g((\phi h)^T U, U) - \|(\phi h)^T U\|^2 \right).
 \end{aligned} \tag{4.1}$$

(2) *For $H(p) = 0$, a unit tangent vector $U \in T_pM$ satisfies the equality case of (4.1) if and only if U belongs to the relative null space \mathcal{N}_p .*

(3) *the equality in (4.1) holds identically for all unit tangent vectors at p if and only if either p is a totally geodesic point or $n = 2$ and p is a totally umbilical point.*

Proof. Let $U \in T_p M$ be a unit tangent vector. We choose an orthonormal basis $e_1, \dots, e_n, e_{n+1}, \dots, e_{2m+1}$ such that e_1, \dots, e_n are tangential to M at p with $e_1 = U$. Then, the squared second fundamental form and the squared mean curvature satisfy the following relation

$$\begin{aligned} \|\sigma\|^2 &= \frac{1}{2}n^2\|H\|^2 + \frac{1}{2}\sum_{r=n+1}^{2m+1}(\sigma_{11}^r - \sigma_{22}^r \cdots - \sigma_{nn}^r)^2 \\ &+ 2\sum_{r=n+1}^{2m+1}\sum_{j=2}^n(\sigma_{1j}^r)^2 - 2\sum_{r=n+1}^{2m+1}\sum_{2 \leq i < j \leq n}(\sigma_{ii}^r\sigma_{jj}^r - (\sigma_{ij}^r)^2). \end{aligned} \quad (4.2)$$

From (3.1) and (4.2), we get

$$\begin{aligned} \tau &- \frac{n(n-1)}{2}f_1 - (n-1)f_4 \operatorname{trace}(h^T) \\ &- \frac{1}{2}f_{5,1} \left\{ (\operatorname{trace}(h^T))^2 - \|h^T\|^2 \right\} + \frac{1}{2}f_{5,2} \left\{ \|(\phi h)^T\|^2 - (\operatorname{trace}(\phi h)^T)^2 \right\} \\ &+ \frac{1}{4}\sum_{r=n+1}^{2m+1}(\sigma_{11}^r - \sigma_{22}^r \cdots - \sigma_{nn}^r)^2 \\ &+ \sum_{r=n+1}^{2m+1}\sum_{j=2}^n(\sigma_{1j}^r)^2 - \sum_{r=n+1}^{2m+1}\sum_{2 \leq i < j \leq n}(\sigma_{ii}^r\sigma_{jj}^r - (\sigma_{ij}^r)^2) \\ &= \frac{1}{4}n^2\|H\|^2. \end{aligned} \quad (4.3)$$

From (2.7) and (2.12), we also have

$$\begin{aligned} \sum_{2 \leq i < j \leq n} K_{ij} &= \sum_{r=n+1}^{2m+1}\sum_{2 \leq i < j \leq n}(\sigma_{ii}^r\sigma_{jj}^r - (\sigma_{ij}^r)^2) + \frac{(n-1)(n-2)}{2}f_1 \\ &+ \frac{1}{2}f_{5,1} \left\{ (\operatorname{trace}(h^T))^2 - 2\operatorname{trace}(h^T)\langle h^T e_1, e_1 \rangle \right. \\ &\quad \left. - \|h^T\|^2 + 2\|h^T e_1\|^2 \right\} \\ &- \frac{1}{2}f_{5,2} \left\{ \|(\phi h)^T\|^2 - (\operatorname{trace}(\phi h)^T)^2 - 2\|(\phi h)^T e_1\|^2 \right. \\ &\quad \left. + 2\operatorname{trace}((\phi h)^T)\langle (\phi h)^T e_1, e_1 \rangle \right\} \\ &+ f_4(n-2)(\operatorname{trace}(h^T) - \langle h^T e_1, e_1 \rangle). \end{aligned} \quad (4.4)$$

From (4.3) and (4.4), we get

$$\begin{aligned}
 \text{Ric}(U) &= \frac{1}{4}n^2\|H\|^2 + (n-1)f_1 + f_4\text{trace}(h^T) + (n-2)f_4\langle h^T U, U \rangle \\
 &\quad + f_{5,1}(\text{trace}(h^T)\langle h^T U, U \rangle - \|h^T U\|^2) \\
 &\quad + f_{5,2}(\text{trace}((\phi h)^T)\langle (\phi h)^T U, U \rangle - \|(\phi h)^T U\|^2) \\
 &\quad - \frac{1}{4}\sum_{r=n+1}^{2m+1}(\sigma_{11}^r - \sigma_{22}^r \cdots - \sigma_{nn}^r)^2 \\
 &\quad - \sum_{r=n+1}^{2m+1}\sum_{j=2}^n(\sigma_{1j}^r)^2,
 \end{aligned} \tag{4.5}$$

which implies (4.1).

Assuming $U = e_1$, from (4.5), the equality case of (4.1) is valid if and only if

$$\begin{aligned}
 \sigma_{11}^r &= \sigma_{22}^r + \cdots + \sigma_{nn}^r, \\
 \sigma_{12}^r &= \cdots = \sigma_{1n}^r = 0, r = n+1, \dots, 2m+1.
 \end{aligned} \tag{4.6}$$

If $H(p) = 0$, (4.6) implies that $U = e_1$ lies in the relative null space \mathcal{N}_p . Conversely, if $U = e_1$ lies in the relative null space \mathcal{N}_p , then (4.6) holds, since $H(p) = 0$ is assumed. Thus (2) is proved.

Now we prove (3). The equality case of (4.1) for all unit tangent vectors to M at p happens if and only if

$$\begin{aligned}
 2\sigma_{ii}^r &= \sigma_{11}^r + \cdots + \sigma_{nn}^r, i = 1, \dots, n, r = n+1, \dots, 2m+1, \\
 \sigma_{ij}^r &= 0, i \neq j, r = n+1, \dots, 2m+1.
 \end{aligned} \tag{4.7}$$

Thus, we have two cases, namely either $n = 2$ or $n \neq 2$. In the first case p is a totally umbilical point, while in the second case p is a totally geodesic point. The proof of converse part is straightforward. \square

5. SQUARED MEAN CURVATURE AND k -RICCI CURVATURE

Theorem 5.1. *Let M be an n -dimensional ($n \geq 3$) C-totally real submanifold in a $(2m+1)$ -dimensional generalized (κ, μ) -contact space form with divided $R_5, \tilde{M}(f_1, \dots, f_6)$. Then we have*

$$\begin{aligned}
 n(n-1)\|H\|^2 &\geq 2\tau - n(n-1)f_1 - 2(n-1)f_4\text{trace}(h^T) \\
 &\quad - f_{5,1}\left\{(\text{trace}(h^T))^2 - \|h^T\|^2\right\} \\
 &\quad + f_{5,2}\left\{\|(\phi h)^T\|^2 - (\text{trace}(\phi h)^T)^2\right\}
 \end{aligned} \tag{5.1}$$

Proof. Let $X \in T_p M$ be a unit tangent vector X at p . We choose an orthonormal basis $\{e_1, \dots, e_n, e_{n+1}, \dots, e_{2m+1}\}$ such that e_1, \dots, e_n are tangential to M at p with $e_1 = X$. We recall the equation (3.1) as

$$\begin{aligned}
 n^2\|H\|^2 &= 2\tau + \|\sigma\|^2 - n(n-1)f_1 - 2(n-1)f_4\text{trace}(h^T) \\
 &\quad - f_{5,1}\left\{(\text{trace}(h^T))^2 - \|h^T\|^2\right\} + f_{5,2}\left\{\|(\phi h)^T\|^2 - (\text{trace}(\phi h)^T)^2\right\}.
 \end{aligned} \tag{5.2}$$

Let the orthonormal basis $\{e_1, \dots, e_n, e_{n+1}, \dots, e_{2m+1}\}$ be such that e_{n+1} is parallel to the mean curvature vector $H(p)$ and e_1, \dots, e_n diagonalize the shape operator A_{n+1} . Then the shape operators take the forms

$$A_{n+1} = \begin{bmatrix} a_1 & 0 & \cdots & 0 \\ 0 & a_2 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & a_n \end{bmatrix}, \tag{5.3}$$

$$A_r = (\sigma_{ij}^r), i, j = 1, \dots, n, r = n + 2, \dots, 2m + 1, \text{trace}(A_r) = \sum_{i=1}^n \sigma_{ii}^r = 0. \tag{5.4}$$

From (5.2), we get

$$\begin{aligned} n^2 \|H\|^2 &= 2\tau + \sum_{i=1}^n a_i^2 + \sum_{r=n+2}^{2m+1} \sum_{i,j=1}^n (\sigma_{ij}^r)^2 - n(n-1)f_1 - 2(n-1)f_4 \text{trace}(h^T) \\ &\quad - f_{5,1} \left\{ (\text{trace}(h^T))^2 - \|h^T\|^2 \right\} + f_{5,2} \left\{ \|(\phi h)^T\|^2 - (\text{trace}(\phi h)^T)^2 \right\}. \end{aligned} \tag{5.5}$$

Since

$$0 \leq \sum_{i < j} (a_i - a_j)^2 = (n-1) \sum_i a_i^2 - 2 \sum_{i < j} a_i a_j. \tag{5.6}$$

Therefore, we get

$$n^2 \|H\|^2 = \left(\sum_{i=1}^n a_i \right)^2 = \sum_{i=1}^n a_i^2 + 2 \sum_{i < j} a_i a_j \leq n \sum_{i=1}^n a_i^2, \tag{5.7}$$

which implies

$$\sum_{i=1}^n a_i^2 \geq n \|H\|^2.$$

In view of (5.5), we obtain

$$\begin{aligned} n^2 \|H\|^2 &\geq 2\tau + n \|H\|^2 - n(n-1)f_1 - 2(n-1)f_4 \text{trace}(h^T) \\ &\quad - f_{5,1} \left\{ (\text{trace}(h^T))^2 - \|h^T\|^2 \right\} + f_{5,2} \left\{ \|(\phi h)^T\|^2 - (\text{trace}(\phi h)^T)^2 \right\}, \end{aligned} \tag{5.8}$$

which gives (5.1). □

Theorem 5.2. *Let M be an n -dimensional ($n \geq 3$) C -totally real submanifold in a $(2m + 1)$ -dimensional generalized (κ, μ) -contact space form with divided $R_5, \tilde{M}(f_1, \dots, f_6)$. Then we have*

$$\begin{aligned} n(n-1) \|H\|^2 &\geq n(n-1)\theta_k(p) - n(n-1)f_1 - 2(n-1)f_4 \text{trace}(h^T) \\ &\quad - f_{5,1} \left\{ (\text{trace}(h^T))^2 - \|h^T\|^2 \right\} + f_{5,2} \left\{ \|(\phi h)^T\|^2 - (\text{trace}(\phi h)^T)^2 \right\} \end{aligned} \tag{5.9}$$

Proof. Let $\{e_1, \dots, e_n\}$ be an orthonormal basis of $T_p M$. We denote by $L_{i_1 \dots i_k}$ the k -plane section spanned by e_{i_1}, \dots, e_{i_k} . From (2.1) and (2.2), it follows that

$$\tau(L_{i_1 \dots i_k}) = \frac{1}{2} \sum_{i \in \{i_1, \dots, i_k\}} \text{Ric}(e_i), \tag{5.10}$$

and

$$\tau(p) = \frac{1}{C_{k-2}^{n-2}} \sum_{1 \leq i_1 < \dots < i_k \leq n} \tau(L_{i_1 \dots i_k}). \tag{5.11}$$

Combining (2.3), (5.10) and (5.11), we obtain

$$\tau(p) \geq \frac{n(n-1)}{2} \theta_k(p), \tag{5.12}$$

which in view of (5.1) implies (5.9). □

6. δ -INVARIANT AND INEQUALITIES FOR SUBMANIFOLDS IN A SASAKIAN GENERALIZED (κ, μ) -SPACE FORMS

In this section, we apply these results to get corresponding results for C-totally real submanifolds in a generalized (κ, μ) -contact space forms $\tilde{M}(f_1, \dots, f_6)$ with $f_3 = f_1 - 1$. If $\kappa = 1 = f_1 - f_3$, the generalized (κ, μ) -contact space forms $\tilde{M}(f_1, \dots, f_6)$ reduces to Sasakian space form; thus $h = 0$ and (2.12) becomes

$$\tilde{R}(X, Y)Z = f_1 R_1(X, Y)Z + f_2 R_2(X, Y)Z + f_3 R_3(X, Y)Z. \tag{6.1}$$

Moreover, for a C-totally real submanifolds in Sasakian space forms, from (2.13), we also get

$$A_{\xi} = 0. \tag{6.2}$$

Thus, in view of Theorem 3.1, we can state the following.

Theorem 6.1. *Let M be an n -dimensional ($n \geq 3$) C-totally real submanifold in a $(2m + 1)$ -dimensional generalized (κ, μ) -contact space forms $\tilde{M}(f_1, \dots, f_6)$ satisfying $f_3 = f_1 - 1$. We have*

$$\delta_M \leq \frac{n^2(n-2)}{2(n-1)} \|H\|^2 + \frac{(n+1)(n-2)}{2} f_1. \tag{6.3}$$

The equality in (6.3) holds at $p \in M$ if and only if there exist an orthonormal basis $\{e_1, \dots, e_n\}$ of $T_p M$ and an orthonormal basis $\{e_{n+1}, \dots, e_{2m+1} = \xi\}$ of $T_p^\perp M$ such that (a) $\pi = \text{Span}\{e_1, e_2\}$ and (b) the forms of shape operators $A_r \equiv A_{e_r}$, $r = n + 1, \dots, 2m + 1$, become

$$A_{n+1} = \begin{bmatrix} a & 0 & 0 \\ 0 & b & 0 \\ 0 & 0 & (a+b)I_{n-2} \end{bmatrix}, \tag{6.4}$$

$$A_r = \begin{bmatrix} c_r & d_r & 0 \\ d_r & -c_r & 0 \\ 0 & 0 & 0_{n-2} \end{bmatrix}, \quad r = n + 2, \dots, 2m, \tag{6.5}$$

and $A_{\xi} = 0$.

Theorem 6.2. *Let M be an n -dimensional ($n \geq 3$) C-totally real submanifold in a $(2m + 1)$ -dimensional generalized (κ, μ) -contact space forms $\tilde{M}(f_1, \dots, f_6)$ satisfying $f_3 = f_1 - 1$. Then for each point $p \in M$*

(1) *For all unit vector $U \in T_p M$, we have*

$$\text{Ric}(U) \leq \frac{1}{4} n^2 \|H\|^2 + (n-1) f_1. \tag{6.6}$$

(2) For $H(p) = 0$, a unit tangent vector $U \in T_pM$ satisfies

$$\text{Ric}(U) = (n - 1)f_1 \tag{6.7}$$

if and only if U belongs to the relative null space \mathcal{N}_p .

(3) For each $p \in M$

$$4S \leq (n^2\|H\|^2 + 4(n - 1)f_1)g. \tag{6.8}$$

where S is the Ricci tensor of the submanifold and g is the Riemannian metric. The equality in (6.8) holds if and only if either p is a totally geodesic point or $n = 2$ and p is a totally umbilical point.

Theorem 6.3. Let M be an n -dimensional ($n \geq 3$) C -totally real submanifold in a $(2m + 1)$ -dimensional generalized (κ, μ) -contact space form with divided R_5 , $\tilde{M}(f_1, \dots, f_6)$ satisfying $f_3 = f_1 - 1$. Then we have

$$\delta(n_1, \dots, n_k) \leq c(n_1, \dots, n_k)\|H\|^2 + b(n_1, \dots, n_k)f_1 \tag{6.9}$$

for any k -tuple $(n_1, \dots, n_k) \in \mathcal{S}(n)$. The equality case of inequality (6.9) holds at a point $p \in M$ if and only if there exists an orthonormal basis $\{e_1, \dots, e_{2m+1}\}$ at p such that the shape operators of M in \tilde{M} at p take the following form:

$$A_r = \begin{pmatrix} A_1^r & \cdots & 0 & \\ \vdots & \ddots & \vdots & 0 \\ 0 & \cdots & A_k^r & \\ & & 0 & a_r I \end{pmatrix}, r = n + 1, \dots, 2m + 1, \tag{6.10}$$

where I is an identity matrix and A_i^r are symmetric $n_i \times n_i$ submatrices such that

$$\text{trace}(A_1^r) = \dots = \text{trace}(A_k^r) = a_r. \tag{6.11}$$

Proof. Let $\mathbf{N} \in \mathcal{S}(n)$. We set

$$\epsilon = 2\tau - 2c\mathbf{N}\|H\|^2 - n(n - 1)f_1. \tag{6.12}$$

Substituting (3.1) in (6.12), we have

$$n^2\|H\|^2 = \gamma(\epsilon + \|\sigma\|^2), \quad \gamma = n + k - \sum_{j=1}^k n_j. \tag{6.13}$$

Let L_1, \dots, L_k be mutually orthogonal subspaces of T_pM with $\dim L_j = n_j, j = 1, \dots, k$. By choosing an orthonormal basis $\{e_1, \dots, e_{n_1}, \dots, e_{n_1+n_2}\}$ at $p \in M$ such that e_1, \dots, e_{n_1} are tangent to M at p , $e_{n_1+1} = \frac{H}{\|H\|}, e_{2m+1} = \zeta$, and

$$\begin{aligned} L_1 &= \text{Span}\{e_1, \dots, e_{n_1}\}, \\ L_2 &= \text{Span}\{e_{n_1+1}, \dots, e_{n_1+n_2}\}, \\ &\vdots \\ L_k &= \text{Span}\{e_{n_1+\dots+n_{k-1}+1}, \dots, e_{n_1+\dots+n_k}\}, \end{aligned}$$

then the equation (6.13) can be written as

$$\left(\sum_{i=1}^n \sigma_{ii}^{n+1}\right)^2 = \gamma\left(\epsilon + \sum_{i=1}^n (\sigma_{ii}^{n+1})^2 + \sum_{i \neq j} (\sigma_{ij}^{n+1})^2 + \sum_{r=n+2}^{2m+1} \sum_{i,j=1}^n (\sigma_{ii}^r)^2\right). \tag{6.14}$$

We set

$$\Delta_1 = \{1, \dots, n_1\}, \dots, \Delta_k = \{n_1 + \dots + n_{k-1} + 1, \dots, n_1 + \dots + n_k\}.$$

The equation (6.14) can be rewritten in the form

$$\begin{aligned} \left(\sum_{i=1}^{\gamma+1} a_i\right)^2 &= \gamma \left(\epsilon + \sum_{i=1}^{\gamma+1} (a_i)^2 + \sum_{i \neq j} (\sigma_{ij}^{n+1})^2 + \sum_{r=n+2}^{2m+1} \sum_{i,j=1}^n (\sigma_{ii}^r)^2 \right. \\ &\quad \left. - \sum_{2 \leq \alpha_1 \neq \beta_1 \leq n_1} \sigma_{\alpha_1 \alpha_1}^{n+1} \sigma_{\beta_1 \beta_1}^{n+1} - \sum_{\alpha_2 \neq \beta_2} \sigma_{\alpha_2 \alpha_2}^{n+1} \sigma_{\beta_2 \beta_2}^{n+1} - \dots - \sum_{\alpha_k \neq \beta_k} \sigma_{\alpha_k \alpha_k}^{n+1} \sigma_{\beta_k \beta_k}^{n+1} \right), \end{aligned} \tag{6.15}$$

where $\alpha_i, \beta_i \in \Delta_i, i = 2, \dots, k$ and

$$\begin{aligned} a_1 &= \sigma_{11}^{n+1}, a_2 = \sum_{i=2}^{n_1} \sigma_{ii}^{n+1}, a_3 = \sum_{i=n_1+1}^{n_1+n_2} \sigma_{ii}^{n+1}, \dots, a_{k+1} = \sum_{i=n_1+\dots+n_{k-1}+1}^{n_1+\dots+n_k} \sigma_{ii}^{n+1}, \\ a_{k+2} &= \sigma_{(n_1+\dots+n_{k+1})(n_1+\dots+n_{k+1})}^{n+1}, \dots, a_{\gamma+1} = \sigma_{nn}^{n+1}. \end{aligned}$$

By applying Lemma 2.1 to (6.15), we can obtain the following inequality

$$\begin{aligned} \sum_{\alpha_1 < \beta_1} \sigma_{\alpha_1 \alpha_1}^{n+1} \sigma_{\beta_1 \beta_1}^{n+1} + \sum_{\alpha_2 < \beta_2} \sigma_{\alpha_2 \alpha_2}^{n+1} \sigma_{\beta_2 \beta_2}^{n+1} + \dots + \sum_{\alpha_k < \beta_k} \sigma_{\alpha_k \alpha_k}^{n+1} \sigma_{\beta_k \beta_k}^{n+1} \\ \geq \frac{\epsilon}{2} + \sum_{i < j} (\sigma_{ij}^{n+1})^2 + \frac{1}{2} \sum_{r=n+2}^{2m+1} \sum_{i,j=1}^n (\sigma_{ij}^r)^2, \end{aligned} \tag{6.16}$$

where $\alpha_i, \beta_i \in \Delta_i, i = 1, \dots, k$. From (2.1) and Gauss' equation, we see that

$$\tau(L_j) = \frac{n_j(n_j - 1)}{2} f_1 + \sum_{r=n+1}^{2m+1} \sum_{\alpha_i < \beta_i} (\sigma_{\alpha_i \alpha_i}^r \sigma_{\beta_i \beta_i}^r - (\sigma_{\alpha_i \beta_i}^r)^2), \tag{6.17}$$

where $\alpha_i, \beta_i \in \Delta_i, i = 1, \dots, k$. In view of (6.16) and (6.17), we obtain

$$\begin{aligned} \sum_{i=1}^k \tau(L_i) &\geq \frac{\epsilon}{2} + \sum_{i=1}^k \frac{n_i(n_i - 1)}{2} f_1 \\ &\quad + \frac{1}{2} \sum_{r=n+1}^{2m+1} \sum_{(\alpha, \beta) \notin \Delta^2} (\sigma_{\alpha\beta}^r)^2 + \frac{1}{2} \sum_{r=n+2}^{2m+1} \sum_{i=1}^k \left(\sum_{\alpha_i \in \Delta_i} \sigma_{\alpha_i \alpha_i}^r \right)^2 \\ &\geq \frac{\epsilon}{2} + \sum_{i=1}^k \frac{n_i(n_i - 1)}{2} f_1, \end{aligned} \tag{6.18}$$

where $\Delta^2 = (\Delta_1 \times \Delta_1) \cup \dots \cup (\Delta_k \times \Delta_k)$. From (2.4), (6.12) and (6.18), we can obtain (6.9). If the equality in (6.9) holds at a point p , then the inequalities in (6.16) and (6.18) are actually equalities at p . In this case, by applying Lemma 2.1, (6.15), (6.16), (6.17) and (6.18), we also obtain (6.10) and (6.11). \square

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